

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

**1. Date of Submission:** 2010-03-18 15:49:38

**2. Agency:** 011

**3. Bureau:** 03

**4. Name of this Investment:** JMD Unified Financial Management System (UFMS)

**5. Unique Project (Investment) Identifier:** 011-03-01-01-01-1126-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Department of Justice (DOJ) has initiated an effort to implement a unified system that will improve the existing and future financial management and procurement operations across DOJ. The Department will address these needs via the implementation of the UFMS, which is planned to replace five (5) core financial management systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off The Shelf (COTS) solution. The UFMS will allow the DOJ to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and Program managers across the Department, and produce Component- and Department-level financial statements. In addition, the system will assist the DOJ by improving financial management performance and aid Department Components in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the DOJ Office of the Inspector General (OIG). Finally, the system will provide procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation (FAR) and the Justice Acquisition Regulations (JAR).

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

- a. If "yes," what was the date of this approval? \***

**10. Contact information of Program/Project Manager?**

- Name: \*
- Phone Number: \*
- Email: \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. **If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
DJJ-06-F-1338	BPA	Y	2005-12-15	2005-12-15	2012-12-14	\$375.0	*	*	*	*	*
DJJ-04-F-0892	BPA	Y	2006-06-19	2006-08-01	2011-06-18	\$11.8	*	*	*	*	*
DJJ09-F-1810	FFP & Labor Hour	Y	2009-07-24	2009-08-16	2015-07-23	\$17.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a. If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Ensure the Fair and Efficient Administration of Justice	*	*	IT hosting infrastructure availability per month (per service level agreement)	99%	99%	99.03%
2009	Ensure the Fair and Efficient Administration of Justice	*	*	Audit support as DOJ's system of record	UFMS is not supporting any audits as DOJ's system of record	UFMS is supporting the audit at DEA	UFMS supported DEA's successful audit in FY 2009.
2009	Ensure the Fair and Efficient Administration of Justice	*	*	% of vendor payments paid on time (How many invoices are paid on time in accordance with the Prompt Payment Act (PPA))	95%	98%	87.38% (DEA only).
2010	Ensure the Fair and Efficient Administration of Justice	*	*	% of vendor payments paid on time (How many invoices are paid on time in accordance with the Prompt Payment Act (PPA))	95%	98%	91.69% (DEA only) through May 2010.
2009	Ensure the Fair and Efficient Administration of Justice	*	*	Helpdesk Response Time: Average time to resolve Helpdesk Trouble Tickets in the calendar month	1.5 days	3 days	1.53 days
2010	Ensure the Fair and Efficient Administration of Justice	*	*	Helpdesk Response Time: Average time to resolve Helpdesk Trouble Tickets in the calendar month	1.5 days	3 days	2.1 days through June 2010.
2010	Ensure the Fair and Efficient Administration of Justice	*	*	IT hosting infrastructure availability per month (per service level agreement)	99%	99%	99.86% through June 2010.
2010	Ensure the Fair and Efficient Administration of Justice	*	*	Audit support as DOJ's system of record	UFMS is the system of record for DEA	UFMS is supporting the audit at DEA	UFMS supported DEA's successful audit of FY 2009 financial statements in FY 2010.

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Direct PMO Personnel	\$20.7	\$12.5	2001-09-01	2001-09-01	2013-03-01		60.00%	60.00%
AFP	\$7.8	\$3.6	2006-09-13	2006-09-13	2011-08-31		48.00%	48.00%
DEA	\$18.8	\$18.4	2006-09-01	2006-08-24	2009-05-15	2009-05-15	100.00%	100.00%
FBI	\$50.4	\$2.2	2007-03-18	2007-03-18	2012-03-31		4.00%	4.00%
ATF	\$13.8	\$11.4	2008-11-18	2008-11-18	2010-08-31		88.00%	84.00%
USMS	\$18.0	\$1.9	2007-06-18	2009-10-01	2013-03-28		15.00%	14.00%
BOP	\$25.2	\$1.0	2010-11-01	2009-01-27	2013-01-31		6.00%	6.00%
OBD	*	*	2011-02-01		2013-03-28		0.00%	0.00%
OJP	*	*	2011-04-01		2012-09-30		0.00%	0.00%
Momentum User License Procurement	\$5.6	\$1.7	2008-10-01	2006-07-01	2013-03-01		30.00%	30.00%
I&I Travel	\$2.4	\$0.0	2008-09-30	2008-09-30	2013-03-01		0.00%	0.00%
Supplemental Staff	\$4.2	\$2.4	2001-09-01	2001-09-01	2013-03-01		56.00%	56.00%
Contingency for Implementation	\$3.6	\$0.0	2008-03-27	2008-03-27	2013-03-01		0.00%	0.00%
Other Business Transformation	\$7.4	\$1.6	2008-07-17	2008-07-17	2013-03-01		27.00%	27.00%
Momentum Security	\$0.7	\$0.2	2008-07-01	2008-07-01	2013-03-01		37.00%	37.00%
Component PMOs	\$3.2	\$2.9	2006-01-01	2006-01-01	2013-03-01	2006-12-10	100.00%	100.00%
Data Hosting Operations & Maintenance	\$200.9	\$17.5	2008-11-01	2008-11-01	2021-09-30		9.00%	9.00%
Maintenance	*	*	2008-11-01		2021-09-30		0.00%	0.00%
Direct PMO Personnel (O&M)	*	*	2013-03-01		2021-09-30		0.00%	0.00%
Support Contract (O&M)	*	*	2013-03-01		2021-09-30		0.00%	0.00%
New Hire and Recurring Training	*	*	2013-03-01		2021-09-30		0.00%	0.00%
Facility Costs (O&M)	*	*	2008-11-01		2021-09-30		0.00%	0.00%
Facility Costs	\$23.5	\$16.6	2001-09-01	2001-09-01	2013-03-01		71.00%	71.00%
System Administration & Help Desk	\$112.8	\$14.1	2007-11-07	2007-11-07	2021-09-30		12.00%	12.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Momentum Upgrades (O&M)	*	*	2013-03-01		2021-09-30		0.00%	0.00%
Hardware & Software Engineering (O&M)	*	*	2013-03-01		2021-09-30		0.00%	0.00%
Support Contract	\$52.9	\$45.6	2001-09-01	2001-09-01	2013-03-01		86.00%	86.00%
IV&V	\$8.4	\$3.9	2001-09-01	2001-09-01	2013-03-01		47.00%	47.00%
Team IBM Program Management	\$57.6	\$30.8	2001-09-01	2001-09-01	2013-03-01		53.00%	53.00%
Data Hosting Infrastructure	\$2.3	\$2.3	2004-07-01	2004-07-01	2006-12-10	2006-12-10	100.00%	100.00%
Foundation Build	\$37.2	\$23.4	2006-09-01	2006-09-01	2013-03-01		65.00%	65.00%
Hardware & Software Engineering	\$81.3	\$25.1	2007-09-01	2007-09-01	2013-03-01		31.00%	31.00%

\* - Indicates data is redacted.